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EXAMINER

PATEL, NIHIR B

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Please find below and/or attached an Office communication concerning this application or proceeding.

# Notice of Abandonment

Application No.

09/992,611

Examiner

Nihir Patel

Applicant(s)

ALLEN, SUSAN DAVIS

Art Unit

3743

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

This application is abandoned in view of:

1. ☒ Applicant's failure to timely file a proper reply to the Office letter mailed on October 4<sup>th</sup>, 2004.
  - (a) ☐ A reply was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.
  - (b) ☐ A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.  
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
  - (c) ☐ A reply was received on \_\_\_\_\_ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
  - (d) ☒ No reply has been received.
2. ☐ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
  - (a) ☐ The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
  - (b) ☐ The submitted fee of \$\_\_\_\_\_ is insufficient. A balance of \$\_\_\_\_\_ is due.  
The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_. The publication fee, if required by 37 CFR 1.18(d), is \$\_\_\_\_\_.
  - (c) ☐ The issue fee and publication fee, if applicable, has not been received.
3. ☐ Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
  - (a) ☐ Proposed corrected drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply.
  - (b) ☐ No corrected drawings have been received.
4. ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. ☐ The decision by the Board of Patent Appeals and Interference rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. ☐ The reason(s) below:

Henry Bennett  
Supervisory Patent Examiner  
Group 2700

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

## ELECTROPHORETIC DISPLAYS AND SYSTEMS FOR ADDRESSING SUCH DISPLAYS

Cross-Reference to Related Applications

The present application claims priority to U.S.S.N. 60/078,363, filed March 18, 1998 and U.S.S.N. 60/090,232, filed June 22, 1998, the disclosures of which are hereby incorporated by reference herein.

5 Field of the Invention

The present invention relates to electrophoretic displays, especially encapsulated electrophoretic displays, and to systems for addressing such displays.

Background of the Invention

10 There are a number of interesting display media which provide good optical appearance, the ability to be constructed in large areas or on flexible substrates, low cost, and ease of manufacture. Such display media include microencapsulated electrophoretic displays, rotating bichromal ball displays, suspended particle displays, and composites of liquid crystals with polymers, including polymer dispersed liquid crystals, polymer stabilized liquid crystals, and liquid crystal gels.

15 One drawback of such displays is that they are difficult to practically and economically address. One common means of addressing is known as direct drive addressing, in which each pixel is controlled by its own external drive circuit. This scheme is both expensive and impractical for displays containing a large number of pixels and for displays containing pixels that are tightly packed.

20 Another means of addressing is active matrix drive addressing, in which an electrically non-linear element is deposited on the display substrate. Examples of such electronically non-linear elements include transistors, diodes, and varistors. While this type of addressing is well-known and widely practiced, it is expensive to produce and difficult to achieve on plastic substrates.

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A third means of addressing uses multiplexing, in which the conductive portions of the substrate are patterned so that rows of pixels on the substrate are electrically connected and columns of pixels on the substrate are also electrically connected. Typically, voltages are sequentially placed on the row electrodes, with the pixel data for each row being placed on the column electrode. This type of addressing is used for a variety of display media. Its use is limited, however, to displays in which the optical response as a function of applied voltage is non-linear and in which there is a significant voltage threshold to turn on the pixels. Display media which do not show a pronounced voltage threshold show poor contrast when driven with multiplex addressing drive schemes.

The purpose of this disclosure is to describe electrophoretic displays, especially encapsulated electrophoretic displays, and systems for addressing display media of such displays. Systems of the invention allow for the addressing of display media that have poor threshold behavior without the high costs associated with using direct drive and active matrix drive addressing schemes. This is accomplished by using a multiplex addressing drive scheme in conjunction with an emissive material that does possess a pronounced voltage threshold.

#### Summary of the Invention

The present invention provides electrophoretic displays, especially encapsulated electrophoretic displays, and systems for addressing such displays. Displays of the invention include an organic, light-emitting layer, a photoconductive layer, and an electrophoretic layer. Such displays may be rigid or flexible. Displays of the invention may also include a reflective substrate to direct light from the organic, light-emitting layer to the photoconductive layer. Displays of the invention may also include a dielectrophoretic layer, which is preferably fenestrated. Finally, displays of the invention may also include a capacitor.

In one embodiment, the invention relates to an electrophoretic display including an organic, light-emitting layer, a photoconductive layer adjacent the organic, light-emitting layer, and an electrophoretic layer adjacent the photoconductive layer. Light from the organic, light-emitting layer strikes the photoconductive layer at a first point on a first side of the photoconductive layer, which faces the organic, light-emitting layer. A voltage is then generated at a second point on a second side of the photoconductive layer. This second point corresponds

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to the first point and faces the electrophoretic layer. The voltage at the second point addresses the electrophoretic layer at a predetermined point on the electrophoretic layer.

When the display is not illuminated, the impedance of the photoconductive layer is much greater than the impedance of the electrophoretic layer. The photoconductive layer therefore drops the majority of the applied voltage. When the display is illuminated, the impedance of the photoconductive layer decreases, and the majority of the applied voltage then drops across the electrophoretic layer, forming an image. Specifically, the photoconductive layer is biased at a voltage on the "rear" side, which faces the organic, light-emitting layer. The portions of the photoconductive layer that are exposed to light effectively transfer the voltage to the "front" side of the photoconductive layer, which faces the electrophoretic layer. Depending on the ratios of the capacitances and the resistances, the reset pulse may require a slow ramp to avoid the capacitive regime.

In another embodiment of the invention, an emissive display includes an organic, light-emitting layer and a photoconductive layer disposed under the organic, light-emitting layer. In this embodiment, the organic, light-emitting layer is addressable at a first predetermined voltage. A first fraction of this first predetermined voltage drops across the organic, light-emitting layer, and a second fraction of this first predetermined voltage drops across the photoconductive layer. When the organic, light-emitting layer is addressed using this first predetermined voltage, it emits light, which strikes the photoconductive layer. This light causes the impedance of the photoconductive layer to decrease, so that the fraction of the first predetermined voltage dropping across the photoconductive layer is decreased and the fraction of the first predetermined voltage dropping across the organic, light-emitting layer is increased. The organic, light-emitting layer may then be addressed at a second predetermined voltage, which is lower than the first predetermined voltage. In an alternative embodiment, the emissive display includes a fenestrated dielectrophoretic layer, which modulates the amount of light striking the photoconductive layer.

The organic, light-emitting layer for use in displays of the invention includes an organic material disposed on a clear substrate. The clear substrate may be a glass, a plastic, or a polyester substrate, for example. The organic, light-emitting material may be an organic compound, an organometallic compound, an oligomer, or a polymer. Dispersed within the organic material may be inorganic semiconductors, such as CdSe conductors, for example.

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The photoconductive layer for use in displays of the invention includes a photoconductive material, such as 2,4,7-trinitro-9-fluorenone complexed with poly(N-vinylcarbazole). The photoconductive material may be an organic photoconductive polymer, a dye-aggregate photoreceptor, or a pigment-based photoreceptor. In one embodiment, the photoconductive layer is disposed on a clear substrate, such as a glass, a plastic, or a polyester substrate, for example. In one embodiment, an optical barrier layer is disposed over or adjacent to the photoconductive layer. The optical barrier layer is a dispersion of opaque conductive particles in a polymer matrix, such as a dispersion of black pigment particles in an epoxy binder, for example. In other embodiments, the photoconductive layer includes a first photoconductive material and a second photoconductive material. The second photoconductive material is sensitive to a different variable of light than the first photoconductive material. The variable of light may be the wavelength of the light, the intensity of the light, or the duration of the light.

The electrophoretic layer for use in displays of the invention may be an encapsulated electrophoretic layer or a dielectrophoretic layer. An encapsulated electrophoretic layer of the invention includes a plurality of particles dispersed in a suspending fluid, which is encapsulated in a polymer matrix. The polymer matrix may include an aqueous polymer latex, such as a polyurethane, for example. The polymer matrix may be coated onto a substrate, such as a glass, plastic, or polyester substrate, for example.

In another embodiment of the invention, a display includes a clear top electrode. This clear top electrode may comprise a conductive material on a substrate. The clear top electrode may be indium tin oxide (ITO) coated onto a glass, plastic, or polyester substrate, for example.

The invention will be understood further upon consideration of the following drawings, description, and claims.

#### Brief Description of the Drawings

Figure 1 shows a display of the invention.

Figure 2 shows a display of the invention containing a reflective substrate for directing light from an emissive layer to a photoconductive layer.

Figure 3 shows a display of the invention containing a light-blocking layer.

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Figure 4 shows a display of the invention containing two different types of photoconductive materials.

Figure 5 shows a display of the invention containing a capacitor.

Figure 6 shows a display of the invention containing a partial electrophoretic layer.

5        Figure 7 shows a display of the invention containing partial electrophoretic and photoconductive layers, as well as a light-blocking material.

Figure 8 shows a display of the invention containing a photoconductive layer, an organic, light-emitting layer, and a fenestrated dielectrophoretic layer.

10       Figure 9 shows a display of the invention containing a light-directing layer and a spacer layer so as to generate an image based on an external reflective surface.

Like reference characters in the drawings represent corresponding parts.

Detailed Description of the Invention

The invention relates to electrophoretic displays, especially encapsulated electrophoretic displays, and to systems for addressing such displays. Generally, an electrophoretic display of the invention includes a light-emitting layer, a photoconductive layer, and an electrophoretic layer.

5 The light-emitting layer is preferably an organic, light-emitting material, such as an organic compound, an organometallic compound, an oligomer, or a polymer, for example. The photoconductive layer is preferably an organic conductive polymer, a dye-aggregate photoreceptor, or a pigment-based photoreceptor. The light-emitting layer and the photoconductive layer are both preferably disposed on a clear substrate, such as a glass, plastic, 10 or polyester substrate, for example. The electrophoretic layer may be an encapsulated electrophoretic layer or a dielectrophoretic layer, for example. Displays of the invention may also include a dielectrophoretic layer, which is preferably fenestrated. Displays of the invention may be either rigid or flexible. Finally, displays of the invention may include at least one capacitor.

15 Displays of the invention provide for the use of a multiplexed drive scheme to address the display. In the below described embodiments of the invention, the light-emitting layer is addressed using a multiplex addressing drive scheme. The impedance of the photoconductive layer is lowered when it is struck by light from the light-emitting layer. As a result of the lowered impedance of the photoconductive layer, the electrophoretic layer, which itself cannot be multiplexed, is addressed at a lower, subthreshold voltage in dark regions of the display and at a 20 higher voltage in the illuminated regions of the display.

The present invention provides novel combinations of emissive materials and electrophoretic display materials to provide the effective multiplexed addressing of the electrophoretic display. In particular, organic, light-emitting materials, which have not been previously described in optical addressing of electrophoretic displays, are described as the 25 multiplexed emissive materials. Additionally, the use of emissive materials in this manner extends the number of organic emissives that are practical for a number of applications. Finally, applications of these materials on flexible substrates, which are useful in larger-area, low cost, or high-durability applications, is also described. Electrophoretic displays of the invention are described below.



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Figure 1 shows a display of the invention. The display 20 includes light-emitting layer 10, photoconductive layer 12, electrophoretic layer 14, clear top electrode 16, and a source of voltage 18. In one embodiment, the clear top electrode 16 is a glass, plastic, or polyester substrate coated with indium tin oxide (ITO). In the embodiment shown in Figure 1, a large voltage is placed on the photoconductive layer 12 relative to the electrophoretic layer 14, so that the photoconductive layer 12 essentially serves as one electrode of the display 20. When no light strikes the photoconductive layer 12, the voltage drops primarily across the photoconductive layer 12 (i.e., no voltage on the electrophoretic layer 14). When light strikes the photoconductive layer 12, however, voltage drops across the electrophoretic layer 14, and the electrophoretic layer 14 is addressed.

Electrophoretic layers for use in displays of the invention are preferably encapsulated electrophoretic layers, but other electrophoretic layers are contemplated by the invention. Such layers include dielectrophoretic layers, addressable retroreflective layers, and micro-mechanical, micro-mirror, or other light-directing layers, as well as layers in which the optical effect is achieved by translating various liquids of differing dielectric constants (i.e., suspended liquid displays). The electrophoretic layer may also be a classic emissive, transmissive, or transfective display material. Furthermore, the electrophoretic layer may be nematic liquid crystals in a variety of modes (e.g., tunable birefringence, twisted nematic, or vertically-aligned nematic), polymer dispersed liquid crystals, ferroelectric liquid crystals, or standard electrophoretic displays on glass. (See, e.g., "High Resolution Display with Photoconductor Addressing" by Stephen Blazo, SID Digest Technical Papers 1982, pp. 92-93). Such displays, while effective, are, however, typically expensive to produce and/or are limited to use in small areas.

An encapsulated electrophoretic layer of the invention, preferably, contains particles in a suspending fluid. In some embodiments of the invention, at least one species of particles are titania particles or other particles of high refractive index such as clays. In other embodiments of the invention, at least one species of particles responds to heat, fluorescent light, magnetic field, or other phenomena, and releases light.

Referring again to Figure 1, if the electrophoretic layer 14 has a low conductivity (i.e., the particles are the primary charge carriers), the particles are brought to one electrode by the application of a high voltage, while the photoconductive layer 12 is kept dark. Alternatively, if

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the electrophoretic layer 14 has a high conductivity (i.e., there are a large number of free ions), the particles are brought to one electrode by the application of a high voltage and the entire display is illuminated. Once the particles are on one side or the other of the display, they will remain attached to the wall of the electrophoretic layer 14 (i.e., the display is bistable). The voltage is then reversed by ramping very slowly to the opposite polarity. If the electrophoretic layer 14 has a low conductivity, this ramping may be done in the dark. If the electrophoretic layer 14 has a high conductivity, this ramping must be done in the dark. In either case, the particles do not move as long as the slowly ramping voltage across the electrophoretic layer 14 is kept under the threshold voltage by the movement of free ions in the electrophoretic layer 14. With the voltage remaining on, the photoconductive layer 12 is illuminated image-wise. The particles then move to the opposite electrode in the regions of the electrophoretic layer 14 that are adjacent to the illuminated regions of the photoconductive layer 12.

In an alternative embodiment of the display of Figure 1, the light-emitting layer 10 is addressed using an active matrix addressing scheme. The emission from the light-emitting layer 10 then addresses the electrophoretic layer 14, mediated by the photoconductive layer 12. The advantage here is that the light-emitting layer 10 can be driven at low voltages and with low power, as is compatible with active matrix devices. Some implementations of the displays described herein require high voltages, which are incompatible with active matrix electronics. This embodiment therefore provides a system in which a low voltage active matrix panel drives a high voltage display medium, mediated by the light-emitting layer 10 and the photoconductive layer 12.

Light-emitting layers for use in displays of the invention are preferably an organic, light-emitting material (i.e., an organic, light-emitting diode, or OLED) disposed on a clear substrate. The substrate may be a glass, plastic, or polyester substrate. Organic, light-emitting materials, or OLEDs, for use in displays of the invention include organic compounds, organometallic compounds, oligomers, and polymers. Examples of organic materials that may be useful in the invention include, but are not limited to, tris-(8-hydroxyquinoline) aluminum (Alq3), N,N'-bis-(1-naphyl)-N,N'-diphenyl-1,1'-biphenyl-4,4'-diamine (NPB), poly[2,5-bis[2-(N,N,N-triethylammonium)ethoxy]-1,4-phenylene-alt-1,4-phenylene] dibromide (PPP-NEt<sub>3</sub><sup>+</sup>)<sub>2</sub>, and poly(5-methoxy-(2-propanoxysulfonate)-1,4-phenylene vinylene) (MPS-PPV). In one embodiment, the

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organic, light-emitting layer is a multi-layer composition. For example, the organic, light-emitting layer may be a composition of ITO/copper phthalocyanine/NPB/8-hydroxyquinoline aluminum/Mg. Additionally, composites of such organic materials may be used as the organic, light-emitting layer, such as MPS-PPV doped PPP-NEt<sub>3</sub><sup>+</sup>, for example. Other organic, light-emitting materials that may be useful in the invention are not formally diodes. Such materials work by the formation of dynamic p-n junctions or by other processes, such as chemiluminescence, for example. The light-emitting materials described herein include these and related materials.

Organic, light-emitting materials for use in the invention may also include dispersions or layers of inorganic semiconductors. Such inorganic semiconductors include, but are not limited to, CdSe. The devices may have multiple layers, including electron-injecting electrodes, electron transport layers, emissive layers, hole transporting layers, and hole-injecting electrodes.

One drawback of organic, light-emitting materials is their relatively short lifetimes, particularly when operated to produce light. In particular, the organic, light-emitting material tends to degrade unless water and oxygen are excluded. For this reason, the organic light-emitting material may be protected from exposure to water and oxygen by a barrier layer disposed over the organic, light-emitting material.

In addition to organic, light-emitting materials, other light-emitting materials may be useful in the invention. Suitable light-emitting materials include, but are not limited to, transmissive materials, lasers, slide projectors, inorganic, light-emitting diodes, cathode ray tubes, and incandescent, fluorescent, infrared, or neon bulbs. Similarly, the light-emitting layer may be a microwave, radio frequency, or X-ray device, or any other device or material that creates, either directly or through suitable means, sufficient wavelength energy to cause an electrical response by an appropriately sensitive mediator. The light-emitting material may also be an electroluminescent material, such as ZnS dispersed in a polymer matrix, for example.

Photoconductive materials for use in displays of the invention include organic photoconductive polymers, dye-aggregate photoreceptors, and pigment-based photoconductors. In some embodiments of the invention, it may be advantageous to construct a two-layer photoconductive material in which the charge-generation and charge-transport layers are separate

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(i.e., a dual-layer configuration). Photoconductive materials for use in displays of the invention are preferably organic photoconductive polymers. An example of an organic photoconductive polymer is 2,4,7-trinitro-9-fluorenone complexed with poly(N-vinylcarbazole). For pigment-based photoconductors, the pigment particles themselves may be photoconductive, so that the photoactive and optically active components are the same.

Examples of photoconductive materials that may be useful in displays of the invention are disclosed in Pan, *et al.*, U.S. Patent No. 4,439,507, which is hereby incorporated by reference herein. Examples of photoconductive materials include inorganic and organic photoconductive materials, layered photoconductive materials having inorganic or organic compositions, and composite layered devices containing photoconductive materials in a polymer matrix. One example of a composite layered device is a dispersion of zinc oxide particles in a polymer matrix. Useful polymer matrices include those which are incapable of transporting for any significant distance injected charge carriers generated by the photoconductive material. Such useful polymer matrices include, but are not limited to, polystyrene resins, silicone resins, acrylic and methacrylic ester polymers, polymerized ester derivatives of acrylic and  $\alpha$ -acrylic acids, chlorinated rubber, vinyl polymers and copolymers, and cellulose esters. Other known photoconductive materials include amorphous selenium, halogen doped amorphous selenium substances, amorphous selenium alloys, including selenium arsenic, selenium tellurium, selenium arsenic antimony, halogen doped selenium alloys, wherein the halogen is a material such as chlorine, iodine, or fluorine, cadmium sulfide, and the like. Generally, these photoconductive materials are deposited on a suitable clear substrate, such as a glass, plastic, or polyester substrate.

Depending on their construction, photoconductive materials are tuned in sensitivity to different portions of the infrared, visible, and ultraviolet spectrum. A photoconductive material for use in a display of the invention preferably has an absorption activity that is substantially matched to the emissive wavelength range of the particular organic, light-emitting material being used in that display. The wavelength sensitivity of the photoconductor is dependent on the composition of the charge generator. For example, if the charge generator is primarily selenium alloys, the photoconductive material is most sensitive to blue light near the 400 nm wavelength range. Alternatively, if the charge generator is mainly phthalocyanine pigments, the photoconductive material is most sensitive to red light near the 700 nm wavelength range.

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While the invention shown in Figure 1 generally describes a light-emitting layer 10 directly behind the electrophoretic layer 14 and photoconductive layer 12, the light source can also be in front, to the side, or offset from the electrophoretic layer or photoconductive layer. One such example is shown in Figure 2. Figure 2 shows a display 22 having a light-emitting layer 10 offset to the right of, and on a different plane from, the photoconductive layer 12 and the electrophoretic layer 14. Mirror 24 serves to direct light from the light-emitting layer 10 to the photoconductive layer 12. In alternative embodiments, the light is conveyed by light pipe, mirror, fiber optic assembly, or other light-transmitting methods. Similarly, in another alternative embodiment, the electrical properties of the photoconductive layer 12 are conveyed to the electrophoretic layer 14 by various connecting conductors or otherwise conductive layers.

The embodiment of the invention shown in Figure 1 has many benefits over traditional displays. For example, the light-emitting layer 10 and the electrophoretic layer 14 are opto-isolated, making the display 20 more tolerant of surges and spikes in the drive electronics than would be true if the drive electronics were connected directly. Furthermore, when the display 20 is bistable, the display need only operate during the switching period and is otherwise deactivated. During the switching period, even a small amount of light is sufficient to activate certain photoconductors. Thus, the display operates with reduced power consumption and improved lifetime compared to a standalone emissive display. Finally, in this embodiment of the invention, favorable lower-voltage addressing characteristics of the light-emitting layer 10 are utilized by the electrophoretic layer 14. The display 20 therefore provides for the use of cheaper low-voltage drivers to address a high-voltage display.

Figure 3 shows another display of the invention. The display 26 includes light-emitting layer 10, photoconductive layer 12, optical barrier layer 28, electrophoretic layer 14, a clear top electrode 16, and a source of voltage 18. Electrophoretic layers are naturally highly light blocking, since they are designed to have a high contrast between the two states. Many photoconductive layers, on the other hand, are highly sensitive to light, so that even a little light leaking through the electrophoretic layer 14 is sufficient to render the photoconductive layer 12 conductive. In this case, an optical barrier layer 28 is inserted between the electrophoretic layer 14 and the photoconductive layer 12. As described above, an optical barrier layer 28 may be a dispersion of black pigment particles in an epoxy binder, for example. This optical barrier layer

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28 also conducts a charge from the photoconductive layer 12 to the electrophoretic layer 14. The conductivity of the optical barrier layer 28 must, however, be low enough to prevent most of the lateral charge flow. This is usually accomplished by making the optical blocking layer 28 as thin as possible.

5 In other embodiments of the invention, the photoconductive layer may or may not be optically responsive in a uniform manner across the entire display or a pixel of the display. By creating sub-pixel regions in which the photoconductive layer differs, varying optical effects are achieved. On such example is shown in Figure 4. Figure 4 shows a display 30 containing a light-emitting layer 10, a first photoconductive material 32, a second photoconductive material 34, an  
10 electrophoretic layer 14, a clear top electrode 16, and a source of voltage 18. Each of the first and second photoconductive materials is sensitive to a different variable of light. The variable may be the wavelength of the light, the intensity of the light, or the duration of the light. By varying, for example, the wavelength of light from the light-emitting layer 10, different sub-pixel regions of the electrophoretic layer 14 are addressed.

15 The embodiment as shown in Figure 4 may have a varying number of sub-pixel regions and different photoconductive materials in order to provide grayscale or color displays. For example, a pixel is split into four sub-pixels with each sub-pixel having a photoconductive material sensitive to, for example, varying levels or durations of light. A single underlying light-emitting layer actuates one, two, three, or four of the sub-pixel regions, and thereby achieves  
20 four-bit grayscale. In this manner, a grayscale emissive display drives a grayscale electrophoretic display. Similarly, in another example, the various sub-pixel regions correspond to varying colors. In this manner, a grayscale emissive display drives an electrophoretic layer capable of different colors without requiring separate addressing for each of the color sub-pixel regions.

In another embodiment, photoconductive layer sub-regions are tuned to respond to  
25 varying frequencies of light. A single pixel is therefore capable of generating multiple frequencies of light, which actuate multiple individual overlying pixels or sub-pixels. This permits an emissive display of one resolution to successfully address a second display at a higher resolution.

In the embodiment shown in Figure 5, a printed capacitor or printed region of conductive material, is interposed between the photoconductive layer and the electrophoretic layer. As

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shown in Figure 5, the display 36 contains a light-emitting layer 10, a photoconductive layer 12, a capacitor 38, an electrophoretic layer 14, a clear top electrode 16, and a source of voltage 18. As shown in the figure, the capacitor 38 is located beneath only a portion of, or beneath one of the two sub-pixels of, the electrophoretic layer 14. Since the capacitor is beneath one sub-pixel and not another, a brief drop in voltage across both sub-pixels actuates only one of the sub-pixels.

The embodiment of Figure 5 may be combined with that of Figure 4 to achieve further addressing systems. For example, if the sub-pixel lacking a capacitor is addressed by a first photoconductive layer requiring a high intensity of light for activation, whereas the sub-pixel with a capacitor is addressed by a second photoconductive layer that activates at low intensities of light, the first sub-pixel, but not the second, is actuated with a short pulse of intense light. The second sub-pixel, but not the first sub-pixel, is actuated with a long pulse of low-intensity light. Furthermore, both sub-pixels are actuated with a long pulse of high-intensity light. This again would permit an emissive display of one resolution to successfully address a second display at a higher resolution.

While the invention disclosed above generally describes an electrophoretic layer that covers a photoconductive layer, that in turn covers a light-emitting layer, the various layers can also be applied with partial coverage to achieve various effects. For example, the pixels of the electrophoretic layer may have sub-pixel regions. In one embodiment shown in Figure 6, the display 40 contains sub-pixel region 42, which is a bistable electrophoretic material, behind which is a first photoconductive material 44. Behind the photoconductive material 44 is a light-emitting layer 10 that is addressed using a multiplex addressing drive scheme. In another sub-pixel region, a second photoconductive material 46, which is affected by a different wavelength, higher intensity, or duration of light, is open to ambient light from the front. This second photoconductive material 46 may or may not be electrically connected with the sub-pixel region 42. Light from an external source is therefore used to address the entire display. Such a display could, for example, be used for white board, which is electronically addressable from behind, as well as addressable by a user standing in front holding a laser pointer or by a projective display.

In an alternative embodiment of the invention shown in Figure 6, the light-emitting layer 10 is open at the rear and is addressed via direct drive or active matrix drive addressing schemes, or by electrostatic print head. An electrical change in the light-emitting layer 10 either causes an

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optical response across the corresponding sub-pixel of the display or, by electrical connection, causes an optical response across the entire pixel. In this manner, a billboard is not only matrix addressed at a coarser resolution, but also addressed at higher resolutions by an alternative drive scheme. For example, with a suitably shaped additional electrode layer, the billboard displays a giant logo in detailed resolution and then alternates with a variable message display. Alternatively, an electrostatic print head updates one part of the billboard with extremely high resolution, while the remainder of the display is animated or updated at coarser resolution on a frequent or rotating basis.

Another alternative embodiment includes a sub-pixel containing a photoconductive layer that is optically open from the rear. Again, this photoconductive layer addresses only the sub-pixel immediately above it. If the photoconductive layer is connected by electrical or optical connection to the entire pixel, the entire pixel is addressed. In this manner, a billboard is addressed via matrix addressing, as well as by a laser projector that rasterizes across the rear or by a slide projector that projects onto the display.

In another embodiment shown in Figure 7, the display 46 contains a hole or clear region through which light from the emissive portion of the display is visible. The display 46 contains a light-emitting layer 10, photoconductive layers 48 and 48', optical barrier layers 50 and 50', electrophoretic layers 52 and 52', clear top electrodes 16 and 16', and sources of voltage 18 and 18'. In this manner, an outdoor display could be constructed which either emits light through the opening between the electrophoretic layers 52 and 52' or operates in a reflective mode with light being emitted from the electrophoretic layers 52 and 52' as they are addressed. The optical barrier layers 50 and 50' prevent external light from striking the photoconductive layers 48 and 48' and thereby addressing the display 46. Alternatively, the display 46 uses the same addressing method for both types of emission.

In alternative embodiments of the display shown in Figure 7, a fenestrated layer is used to control the amount of ambient light striking the photoconductive materials. Alternatively, an external laser, stylus or projected light source addresses the display by transmitting straight through the electrophoretic layer at a frequency that activates a photoconductive material that is sensitive to that frequency. By tuning the laser to a certain frequency and by driving the



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photoconductive layer with voltage in a synchronized manner, the display's exposure to unwanted ambient light at the chosen frequency is reduced. In another alternative embodiment, the addition of an optical filter or a light-modulating layer, such as a photochromic material, affects the amount of light striking the photoconductive layer.

5 In another embodiment, as shown in Figure 8, a display 54 includes light-emitting layer 10, fenestrated dielectrophoretic layer 56, photoconductive layer 12, clear top electrode 16, and a source of voltage 18. The display 54 is multiplexed, with select and non-select voltages being applied to the rows and the data being applied to the columns. The voltage in non-selected rows is chosen such that, with the dark impedance of the photoconductive layer 12, the voltage drop  
10 across the light-emitting layer 10 is insufficient to address the light-emitting layer 10 independent of the data on that column. When a pixel is turned on by being in a selected row at the appropriate data voltage, the light-emitting layer 10 emits light. The light travels through the fenestrated dielectrophoretic layer 56 and strikes the photoconductive layer 12, thereby reducing the impedance of the photoconductive layer 12. In an alternative embodiment, the addition of an  
15 optical filter or a light-modulating layer, such as a photochromic material, affects the amount of light striking the photoconductive layer. The reduction in impedance causes the voltage drop across the photoconductive layer 12 to decrease, which in turn causes the non-select voltage (which is then applied to that row as other rows are addressed) to drop across the light-emitting layer 10. This non-select voltage drop is sufficient to "latch" the pixel on. A threshold voltage is,  
20 therefore, initially required to address the display 54. After light from the light-emitting layer 10 operates to lower the impedance of the photoconductive layer 12, a lower threshold voltage is required to maintain the display 54 in the illuminated state.

Figure 9 shows an embodiment of the invention in which an external paper document is used to generate an image. The display 58 of this embodiment includes paper document 64, light  
25 diffuser 62, fenestrated light-emitting layer 60, photoconductive layer 12, electrophoretic layer 14, clear top electrode 16, and a source of voltage 18. Light diffuser 62 is optional to the display 58 and may also be embodied as a clear spacer. Paper document 64 is any external object having a reflective surface containing both areas of light appearance and areas of dark appearance. In the embodiment of Figure 9, the fenestrated light-emitting layer 60 bounces light through the light  
30 diffuser 62, which may, for example, focus, diffuse or change the angle of incidence of the light,

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and to the document 64. The light is then reflected more from lighter areas than from the darker areas of the document 64. The reflected light from the lighter areas of document 64 thereby activates those regions of photoconductive layer 12 that correspond to the reflected regions of the document 64. In this manner, a display that can be placed against any document, or reflective surface, and images itself with high resolution in the same form as the document, is constructed. The effect is further enhanced when the electrophoretic display is bistable and when the entire display is flexible. Thus, this invention provides for a paper-like display that can copy an image directly from a document. In a preferred embodiment, the light-emitting layer does not require an addressing matrix, because information content is translated wholly from the external reflective surface.

Displays of the invention are also preferably flexible. The display materials may, for example, be printed onto thin, flexible substrates. Such substrates may include pliable, plastics, polymeric films, metal foils, and thin glass, for example.

The invention therefore provides for the combination of light-emitting, photoconductive, and electrophoretic materials in a display that is addressable using a multiplex addressing drive scheme. Such a combination permits construction of cheap, low-power, bistable, and fast-switching, yet high-resolution, displays. Such displays are uniquely suited for flexible, curved, and contoured handheld applications, as well as for large-areas, such as billboards, due to their all-printed construction. Practical applications of such displays include portable electronics, such as pagers, cellular telephones, notebook computers, personal digital assistants, etc., large area displays for signs, advertising, and informational purposes, wearable displays, displays on appliances, displays on non-portable electronic devices, electronic paper, electronic newspapers, and electronic books.

Electrophoretic displays and systems for addressing such displays are therefore described. Additional aspects and advantages of the invention are apparent upon consideration of the foregoing. Accordingly, the scope of the invention is limited only by the scope of the appended claims.

Claims

What is claimed is:

- 1 1. An electrophoretic display, comprising:
  - 2 (a) a light-emitting layer;
  - 3 (b) a photoconductive layer adjacent said organic, light-emitting layer, wherein  
4 light from said organic, light-emitting layer strikes said photoconductive  
5 layer at a first point on a first side of said photoconductive layer, thereby  
6 generating a voltage at a corresponding second point on a second side of  
7 said photoconductive layer; and
  - 8 (c) an electrophoretic layer adjacent said photoconductive layer, wherein said  
9 voltage from said second point on said photoconductive layer addresses  
10 said electrophoretic layer at a predetermined point on said electrophoretic  
11 layer.
- 1 2. The display of claim 1, wherein said light-emitting layer comprises an organic, light-  
2 emitting layer.
- 1 3. The display of claim 2, wherein said organic light-emitting layer comprises an organic  
2 material disposed on a clear substrate.
- 1 4. The display of claim 3, wherein said clear substrate is selected from the group consisting  
2 of a glass substrate, a plastic substrate, and a polyester substrate.
- 1 5. The display of claim 3, wherein said organic material is selected from the group  
2 consisting of organic compounds, organometallic compounds, oligomers and polymers.
- 1 6. The display of claim 3, wherein said organic material is tris-(8-hydroxyquinoline)  
2 aluminum.
- 1 7. The display of claim 3, wherein said organic material further comprises a dispersion of  
2 inorganic semiconductors.
- 1 8. The display of claim 7, wherein said inorganic semiconductors are CdSe.

- 1 9. The display of claim 1, wherein said light-emitting layer comprises an electroluminescent  
2 layer.
- 1 10. The display of claim 9, wherein said electroluminescent layer comprises a dispersion of  
2 ZnS particles in a polymer binder disposed on a clear substrate.
- 1 11. The display of claim 10, wherein said clear substrate is selected from the group consisting  
2 of a glass substrate, a plastic substrate, and a polyester substrate.
- 1 12. The display of claim 1, wherein said photoconductive layer comprises a photoconductive  
2 material disposed on a clear substrate.
- 1 13. The display of claim 12, wherein said clear substrate is selected from the group consisting  
2 of a glass substrate, a plastic substrate, and a polyester substrate.
- 1 14. The display of claim 12, wherein said photoconductive material is selected from the  
2 group consisting of organic photoconductive polymers, dye-aggregate photoreceptors,  
3 and pigment-based photoconductors.
- 1 15. The display of claim 12, wherein said photoconductive material is 2,4,7-trinitro-9-  
2 fluorenone complexed with poly(N-vinylcarbazole).
- 1 16. The display of claim 1, wherein said photoconductive layer comprises a first  
2 photoconductive material and a second photoconductive material, said first  
3 photoconductive material being sensitive to a different variable of light than said second  
4 photoconductive material.
- 1 17. The display of claim 16, wherein said variable of light is selected from the group  
2 consisting of a wavelength of said light, an intensity of said light, and a duration of said  
3 light.
- 1 18. The display of claim 1, wherein said electrophoretic layer is selected from the group  
2 consisting of an encapsulated electrophoretic layer and a dielectrophoretic layer.
- 1 19. The display of claim 18, wherein said encapsulated electrophoretic layer comprises a  
2 plurality of particles dispersed in a suspending fluid and encapsulated in a polymer matrix.

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- 1 20. The display of claim 1, further comprising a dielectrophoretic layer disposed adjacent said  
2 photoconductive layer.
- 1 21. The display of claim 1, further comprising a reflective substrate for directing light from  
2 said organic, light-emitting layer to said photoconductive layer.
- 1 22. The display of claim 1, further comprising a capacitor disposed adjacent said  
2 photoconductive layer.
- 1 23. The display of claim 1, wherein said display is flexible.
- 1 24. The display of claim 1, further comprising a clear electrode in electrical communication  
2 with said photoconductive layer.
- 1 25. The display of claim 24, wherein said clear electrode comprises a clear substrate coated  
2 with indium tin oxide.
- 1 26. The display of claim 1, further comprising an optical barrier layer disposed over said  
2 photoconductive layer for blocking a quantity of light from at least a portion of said  
3 photoconductive layer.
- 1 27. The display of claim 26, wherein said optical barrier layer comprises a dispersion of  
2 opaque conductive particles in a polymer matrix.
- 1 28. An emissive display, comprising:
- 2 (a) an organic, light-emitting layer addressable at a first predetermined voltage, a first  
3 fraction of said first predetermined voltage dropping across said organic, light-  
4 emitting layer; and
- 5 (b) a photoconductive layer disposed under said organic, light-emitting layer, a second  
6 fraction of said first predetermined voltage dropping across said photoconductive  
7 layer, wherein light from said organic, light-emitting layer strikes said  
8 photoconductive layer, thereby lowering an impedance of said photoconductive  
9 layer and thereby reducing said second fraction of said voltage and thereby  
10 increasing said first fraction of said voltage,

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- 11       whereby said organic, light-emitting layer is subsequently addressable at a second  
12       predetermined voltage, said second predetermined voltage being lower than said first  
13       predetermined voltage.
- 1    29.   The display of claim 28, further comprising a fenestrated dielectrophoretic layer disposed  
2       between said organic, light-emitting layer and said photoconductive layer, said fenestrated  
3       dielectrophoretic layer for modulating an amount of light striking said photoconductive  
4       layer.
- 1    30.   The display of claim 28, wherein said organic, light-emitting layer comprises an organic  
2       material disposed on a clear substrate.
- 1    31.   The display of claim 30, wherein said clear substrate is selected from the group consisting  
2       of a glass substrate, a plastic substrate, and a polyester substrate.
- 1    32.   The display of claim 30, wherein said organic material is a material selected from the  
2       group consisting of organic compounds, organometallic compounds, oligomers, and  
3       polymers.
- 1    33.   The display of claim 30, wherein said organic material is tris-(8-hydroxyquinoline)  
2       aluminum.
- 1    34.   The display of claim 30, wherein said organic material further comprises a dispersion of  
2       inorganic semiconductors.
- 1    35.   The display of claim 34, wherein said inorganic semiconductors are CdSe.
- 1    36.   The display of claim 28, wherein said photoconductive layer comprises a photoconductive  
2       material disposed on a clear substrate.
- 1    37.   The display of claim 36, wherein said clear substrate is selected from the group consisting  
2       of a glass substrate, a plastic substrate, and a polyester substrate.
- 1    38.   The display of claim 36, wherein said photoconductive material is selected from the  
2       group consisting of organic photoconductive polymers, dye-aggregate photoreceptors,  
3       and pigment-based photoconductors.

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- 1 39. The display of claim 36, wherein said photoconductive material is 2,4,7-trinitro-9-  
2 fluorenone complexed with poly(N-vinylcarbazole).
- 1 40. The display of claim 28, further comprising a clear electrode in electrical communication  
2 with said photoconductive layer.
- 1 41. The display of claim 41, wherein said clear electrode comprises a clear substrate coated  
2 with indium tin oxide.
- 1 42. The display of claim 41, wherein said clear substrate is selected from the group consisting  
2 of a glass substrate, a plastic substrate, and a polyester substrate.
- 1 43. The display of claim 28, wherein said display is flexible.

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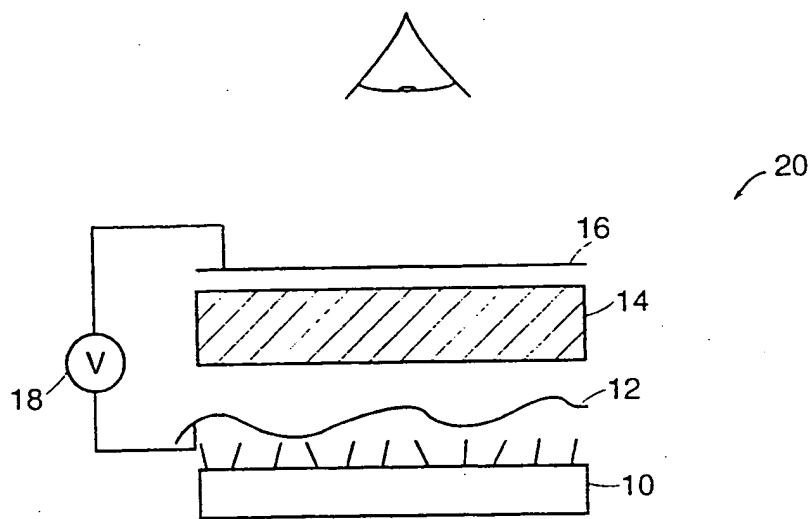


FIG. 1

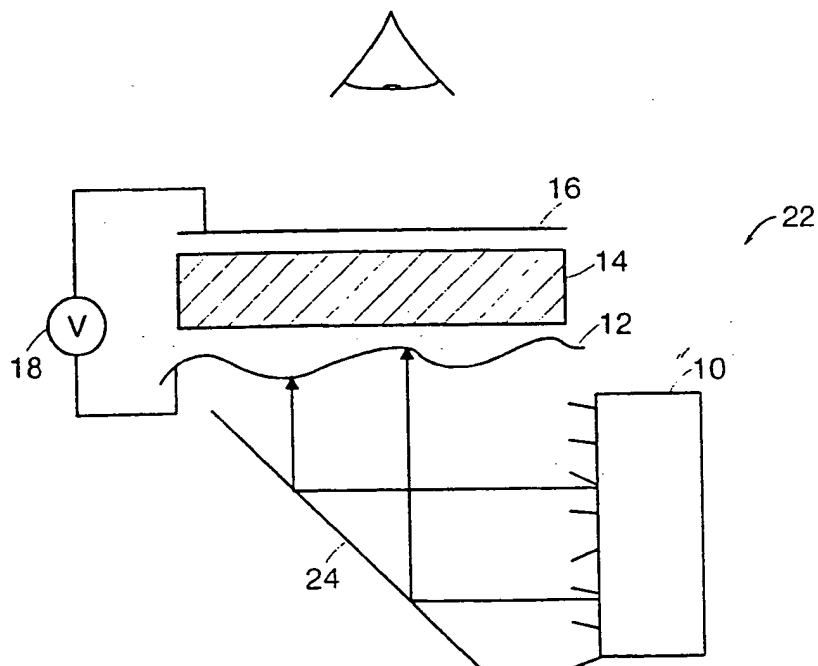


FIG. 2



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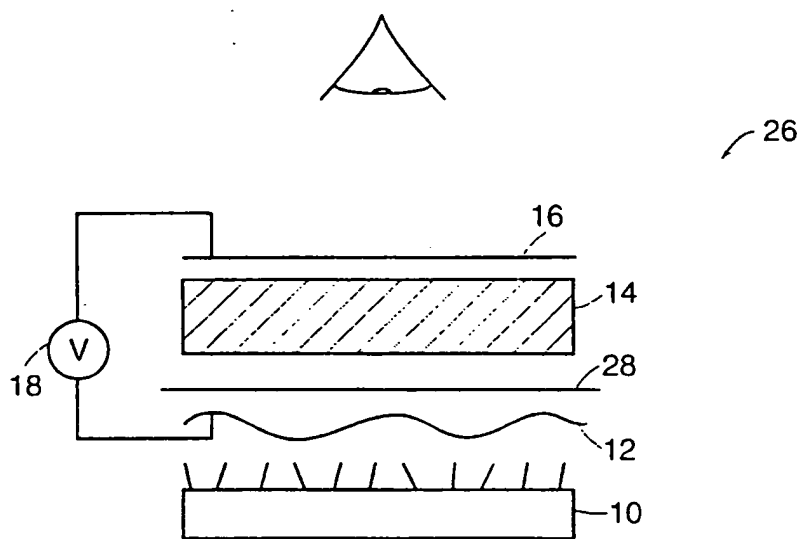


FIG. 3

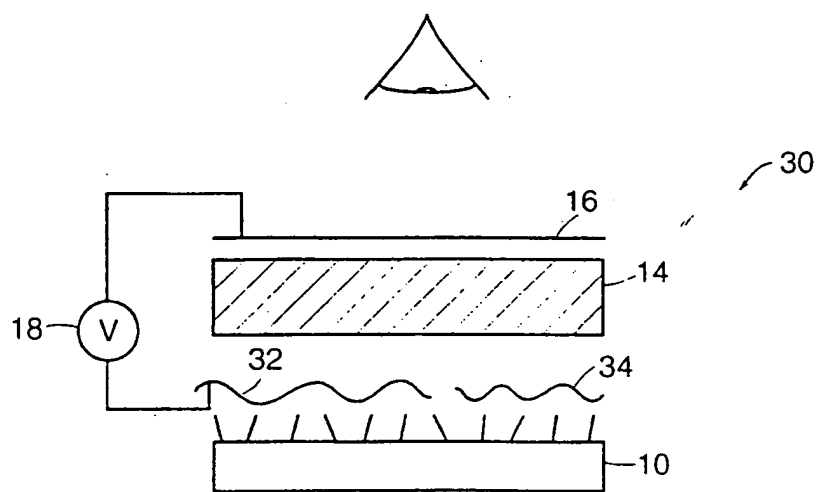


FIG. 4

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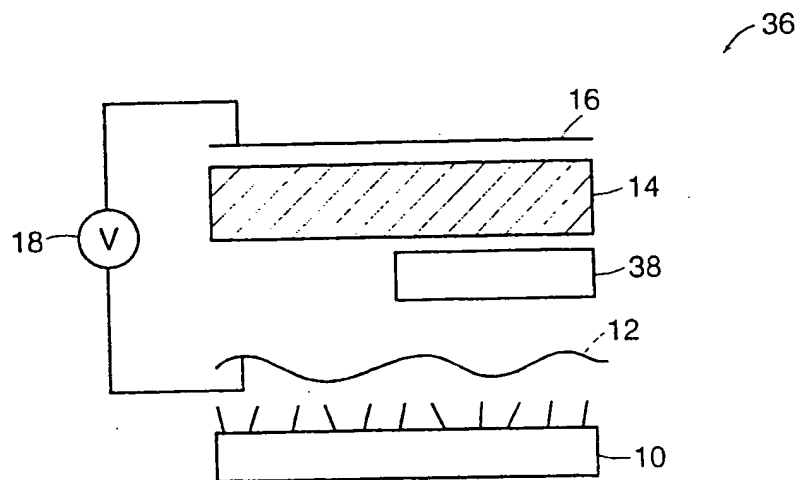


FIG. 5

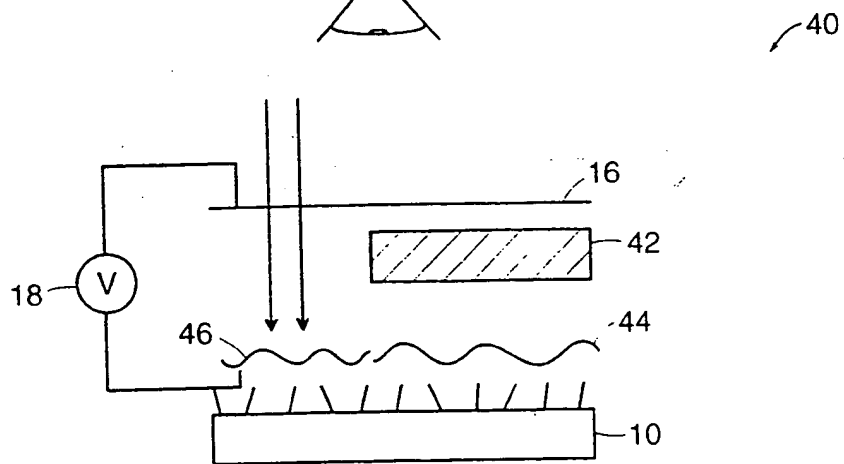


FIG. 6

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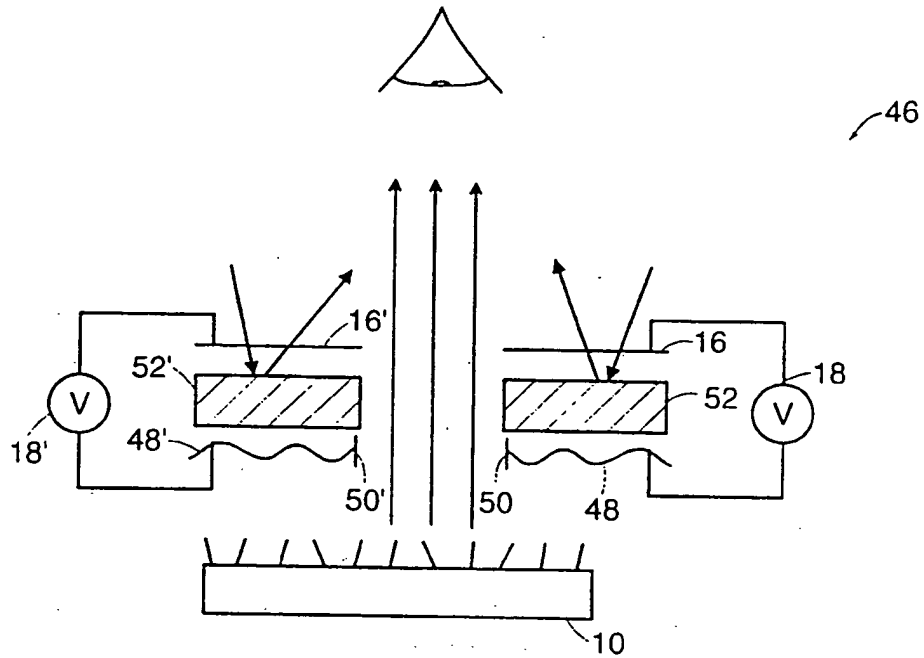


FIG. 7

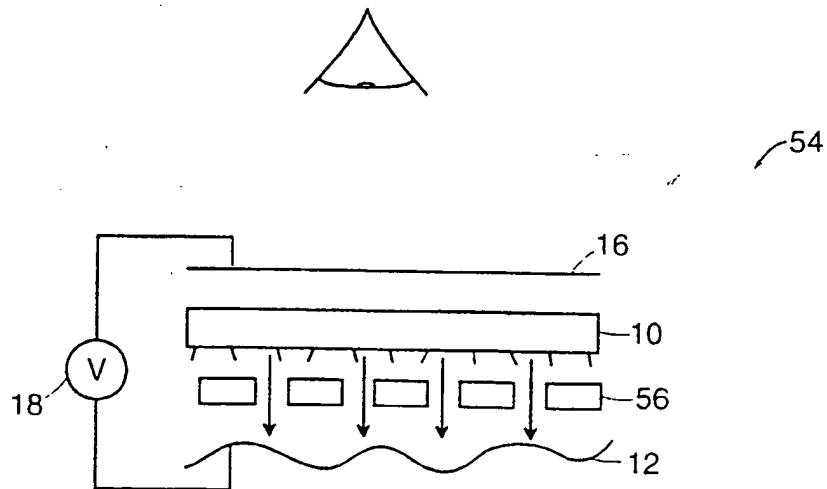


FIG. 8

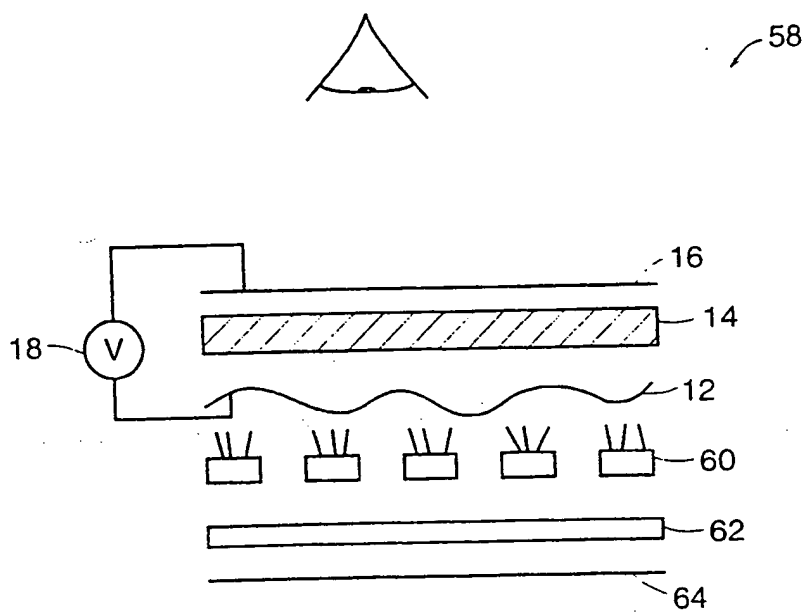


FIG. 9

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 99/05894

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 G02F1/135

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G02F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 24907 A (CASIO COMPUTER CO LTD) 10 July 1997 see page 3, line 17 - page 7, line 15 see page 13, line 15 - page 17, line 10 see page 20, line 15 - line 25 see page 34, line 16 - page 36, line 5 see page 66, line 19 - page 76, line 08 ---	1-43
A	US 5 009 490 A (KOUNO TOMOMITSU ET AL) 23 April 1991 see column 2, line 40 - column 3, line 33 ---	1
A	PATENT ABSTRACTS OF JAPAN vol. 097, no. 001, 31 January 1997 & JP 08 234176 A (FUJI XEROX CO LTD). 13 September 1996 see abstract --- -/--	1

☒ Further documents are listed in the continuation of box C

☒ Patent family members are listed in annex.

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- "8" document member of the same patent family

Date of the actual completion of the international search

28 June 1999

Date of mailing of the international search report

08/07/1999

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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/05894

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication where appropriate, of the relevant passages	Relevant to claim No
A	PATENT ABSTRACTS OF JAPAN vol. 003, no. 132 (E-149), 6 November 1979 & JP 54 111368 A (NEC CORP), 31 August 1979 see abstract ---	1
A	BLAZO STEPHEN F: "HIGH RESOLUTION ELECTROPHORETIC DISPLAY WITH PHOTOCONDUCTOR ADDRESSING" DIGEST OF TECHNICAL PAPERS - 1982 SID INTERNATIONAL SYMPOSIUM.; SAN DIEGO, CA, USA, 1982, pages 92-93, XP002107399 Digest of Technical Papers - SID International Symposium (Society for Information Display) v13, 1982 Lewis Winner, Coral Gables, Fla, USA cited in the application -----	1

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/05894

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
WO 9724907	A	10-07-1997	JP	9185332 A		15-07-1997
			JP	9212106 A		15-08-1997
			CN	1176732 A		18-03-1998
			EP	0812526 A		17-12-1997
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